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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/537,682 Confirmation No. 8543  
Applicant : Banchereau, et al.  
Filed : June 3, 2005  
Docket No. : BHCS:1028  
Customer No. : 34,725

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF INSUFFICIENT FEES

Sir:

This is in response to a Notification of Insufficient Fees dated March 24, 2006 in the above-referenced matter. Enclosed please find a copy of the Notification of Insufficient Fees and PTO Form 2038 for \$25.00 to complete the filing requirements of the above-identified application. Also included is a copy of the Official Filing Receipt with corrections and the Oath and Declaration and Application Data Sheet originally submitted on June 3, 2005. The Official Filing Receipt fails to list inventor Anna Karolina Palucka and incorrectly states the title of the application. We hereby request that these errors be corrected in the Updated Filing Receipt.

If the Examiner has any questions or comments, or if further clarification is required, it is requested that the Examiner contact the undersigned at the telephone number listed below as soon as possible.

05/30/2006 GFREY1 00000123 10537602

01 FC:2615

25.00 GP

Dated: May 24, 2006

Respectfully submitted,



Chailey P. Singleton  
ATTORNEY FOR APPLICANTS  
Registration No. 53,598

Chalker Flores, LLP  
2711 LBJ Freeway, Suite 1036  
Dallas, TX 75234  
214.866.0001 Telephone  
214.866.0010 Facsimile

# CHALKER FLORES, LLP

INTELLECTUAL PROPERTY LAW

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MAY 24 2006

## Fax

DATE: May 24, 2006

TO	Box / Fax No.	RE:
US Patent and Trademark Office	571-273-8300	Serial No. 10/537,682 Filing Date: 6/3/2005 Inventor: Banachereau, et al.
FROM	Chainey P. Singleton	csingleton@chalkerflores.com
DIRECT LINE	214-866-0001	
DIRECT FAX	214-866-0010	
CLIENT/MATTER NO.	BHCS:1028	
TOTAL PAGES (including cover)	17	
Customer No.	34,725	

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Please indicate local time deadline:  
Confirmation Requested:

### Comments

Attached for filing please find:

- |    |   |          |
|----|---|----------|
| 1. | PTO Transmittal Form                          | - 1 pg.  |
| 2. | PTO Fee Transmittal                           | - 1 pg.  |
| 3. | Response to Notification of Insufficient Fees | - 1 pg.  |
| 4. | Copy of PTO Notification of Insufficient Fees | - 2 pgs. |
| 5. | PTO Form 2038                                 | - 1 pg.  |
| 6. | Official Filing Receipt                       | - 3 pg.  |
| 7. | Oath and Declaration                          | - 1 pg.  |
| 8. | Application Data Sheet                        | - 6 pgs. |

Thank you,

Chainey P. Singleton, Reg. No. 53,598

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2711 LBJ Freeway, Suite 1036

Tel. 214 866 0001

MAY 24 2006

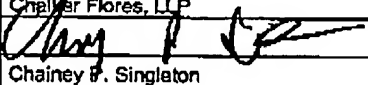
PTO/SB/21 (09-04)


Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/537,682
		Filing Date	Jun 3, 2005
		First Named Inventor	Banchereau, Jacques F.
		Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	17	Attorney Docket Number	BHCS:1028

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance communication to (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Fax Transmittal; Response to Notification of Insufficient Fees; Copy of PTO Notification of Insufficient Fees; PTO Form 2038; Official Filing Receipt; Oath and Declaration; Application Data Sheet
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Chalker Flores, LLP		
Signature			
Printed name	Chainey P. Singleton		
Date	May 24, 2006	Reg. No.	53,598

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Chainey P. Singleton	Date	May 24, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MAY 24 2006

PTO/SB/17 (01-06)

Approved for use through 07/31/2006, OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2006**☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)  
25.00**Complete if Known**

Application Number	10/537,682
Filing Date	Jun 3, 2005
First Named Inventor	Banchereau, et al.
Examiner Name	
Art Unit	
Attorney Docket No.	BHCS:1028

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☐ Charge any additional fee(s) or underpayments of fee(s) ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

**Total Claims** Extra Claims Fee (\$)  
- 20 or HP = 1 x 25.00 = 25.00  
HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims** Extra Claims Fee (\$)  
- 3 or HP = 100.00 = 100.00  
HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

**SUBMITTED BY**

Signature

Registration No.  
(Attorney/Agent) 53,598

Telephone 214-866-0001

Name (Print/Type) Chaimey F. Singleton

Date 5/24/2006

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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Page 1 of 2



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/537,682	Jacques F BANCHEREAU	BHCS:1028
INTERNATIONAL APPLICATION NO.		
PCT/US03/38553		
I.A. FILING DATE		PRIORITY DATE
12/04/2003		12/04/2002

34725  
CHALKER FLORES, LLP  
2711 LBJ FRWY  
Suite 1036  
DALLAS, TX 75234

Doctored  
Notification of Ins. Fees  
Due 5/24/06

CONFIRMATION NO. 8543

371 FORMALITIES LETTER



\*0000000018363717\*

Date Mailed: 03/24/2006

**Notification of Insufficient Fees (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/03/2005
- Copy of the International Search Report filed on 06/03/2005
- Preliminary Amendments filed on 06/03/2005
- Information Disclosure Statements filed on 06/03/2005
- Oath or Declaration filed on 06/03/2005
- Request for Immediate Examination filed on 06/03/2005
- U.S. Basic National Fees filed on 06/03/2005
- Priority Documents filed on 06/03/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$25 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$25 for a Small Entity:

- Total additional claim fee(s) for this application is \$ 25
  - \$25 for 9 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE**

Page 2 of 2

**DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/537,682	PCT/US03/38553	BHCS:1028

FORM PCT/DO/EO/923 (371 Formalities Notice)

Page 1 of 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

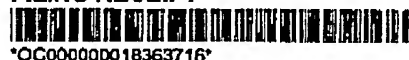
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www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE RECD	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/537,682	06/03/2005		1400	BHCS:1028	19	29	10

34725  
CHALKER FLORES, LLP  
2711 LBJ FRWY  
Suite 1036  
DALLAS, TX 75234

Docketed  
*Filing Receipt*  
Due

CONFIRMATION NO. 8543  
FILING RECEIPT



\*OC00000018363716\*

Date Mailed: 03/24/2008

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jacques F BANCHEREAU, Dallas, TX;

\* Needs to list inventor "Anna Karolina Palucka" as found on  
Oath and Declaration and Application Data Sheet.  
Power of Attorney: The patent practitioners associated with Customer Number 34725.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/38553 12/04/2003  
which claims benefit of 60/430,791 12/04/2002

## Foreign Applications

If Required, Foreign Filing License Granted: 03/23/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/537,682**

Projected Publication Date: 06/29/2008

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

Rapid one-step generation of antigen loaded dendritic cell vaccine from precursors

**Preliminary Class****PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not** result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Page 3 of 3

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